

## Details (38)

Show Groups

System Default

Index	Tag	Value
1	1 16R	GENL
2	2 20C	SEME/PG513DFD3A001
3	3 23G	NEWS
4	4 88C	PREP/20140614013437
5	5 16R	UNK
6	6 13A	LINK/541
7	7 20C	RELA/EH0000000001
8	8 16R	UNK
9	9 16R	GENL
10	10 16R	TRADEI
11	11 88A	TRADE/20140508
12	12 88A	ESET/20140504
13	13 35B	ISN 000000000000
14	14 000	COLOPLAST R
15	15 16R	TRADEI
16	16 16R	FIAC
17	17 38B	ESTT/UNT/000000
18	18 87A	SAFE/413200001000077883P
19	19 87A	CASH/413200001000077883P
20	20 94P	SAFE/CUST/NB.EAD000000
21	21 16R	FIAC
22	22 16R	SEYDEY
23	23 22P	SETU/TRA
24	24 16R	SETPRTY
25	25 88P	DEAD/ESS/00000000
26	26 16R	SETPRTY
27	27 16R	SETPRTY
28	28 88P	SELL/HRVTBEBB000
29	29 87A	SAFE/000000000000
30	30 16R	SETPRTY
31	31 16R	SETPRTY
32	32 88P	POET/VP0000000000
33	33 16R	SETPRTY
34	34 16R	AMT
35	35 19A	ESTT/DIG0000000000
36	36 88A	VALU/20140514
37	37 16R	AMT
38	38 16R	CONFIDENTIAL ED&F-00045500

## Details (38)

Show Groups

System Defs

Index	Tag	Value
1	1 16R	GENL
2	2 20C	:SEMG/PG513DFA8A001
3	3 23G	NEWM
4	4 98C	:PREP/20140514013425
5	5 16R	LINK
6	6 13A	:LINK/541
7	7 20C	:RELA/E1000030690401
8	8 16S	LINK
9	9 16S	GENL
10	10 16R	TRADDET
11	11 98A	:TRAD/20140505
12	12 98A	:RSET/20140514
13	13 35B	:SIN DK0000448595
14	14 000	COLOPLAST B
15	15 16S	TRADDET
16	16 16R	FIAC
17	17 98B	:ESTT/UNIT/550000.
18	18 97A	:SAFE/41329000010000778925F
19	19 97A	:CASH/41329000010000724796K
20	20 94F	:SAFE/CUST/NDKADKXXXX
21	21 16S	FIAC
22	22 16R	SETDET
23	23 22F	:SETR/TRAD
24	24 16R	SETPRTY
25	25 98P	:DEAG/ESSEDKXXXX
26	26 16S	SETPRTY
27	27 16R	SETPRTY
28	28 98P	:SELL/IRVTBEBXXXX
29	29 97A	:SAFE/95295114373
30	30 16S	SETPRTY
31	31 16R	SETPRTY
32	32 98P	:PSET/NPDKKXXXX
33	33 16S	SETPRTY
34	34 16R	AMT
35	35 16A	:ESTT/OKK260753261E
36	36 98A	:VALU/20140514
37	37 16S	AMT
38	38 16S	CONFIDENTIAL ED&F-00045501

Account Number: CC:RIVERS-ASSOC  
Account Name : RIVERSIDE ASSOCIATES DEFINED BE  
Date : 09-05-2014  
Currency : USD

Curr	Layer	Trade Date Amount	Conv Rate	TD Reporting Amt (USD)	Settle Date Amount	Conv Rate	SD Reporting Amt (USD)
DKK	Cash	1,423,591,010.78 Dr	0.18433180	262,413,093.48 Pr	1,020,600,970.28 Dr	0.18433180	188,129,313.93 Dr
EUR	Cash	148,434,849.22 Dr	1.37580000	204,216,665.56 Dr	148,434,849.22 Dr	1.37580000	204,216,665.56 Dr
EUR	SEG CASH A	26,719.00 Cr	1.37580000	36,760.00 Cr	26,719.00 Cr	1.37580000	36,760.00 Cr
USD	Cash	143,655,471.00 Dr	1.00000000	143,655,471.00 Dr	143,655,471.00 Dr	1.00000000	143,655,471.00 Dr
USD	SEG CASH A	146,095.65 Cr	1.00000000	146,095.65 Cr	146,095.65 Cr	1.00000000	146,095.65 Cr
				610,102,374.39 Dr			835,818,494.84 Dr

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L	Cur
COLOB DC	COLOPLAST-B	C	350,000.00 Lg	474.1059	476.2000	402,990,040.50 Dr	404,770,000.00 Cr	1,779,959.50	DKK
DANSKE DC	DANSKE BANK A/S	C	2,000,000.00 Lg	144.2149	152.9000	288,429,840.00 Dr	305,800,000.00 Cr	17,370,160.00	DKK
DNORD DC	D/S NORDEN	C	322,500.00 Lg	223.4078	208.9000	72,049,012.28 Dr	67,370,250.00 Cr	4,678,762.28	DKK
NOVOB DC	NOVO NORDISK A/S-B	C	2,000,000.00 Lg	246.7031	234.5000	493,406,160.00 Dr	469,000,000.00 Cr	24,406,160.00	DKK
TDC DC	TDC A/S	C	2,150,000.00 Lg	52.4007	52.1500	112,661,819.00 Dr	112,122,500.00 Cr	538,919.00	DKK
TRYG DC	TRYG A/S	C	175,000.00 Lg	555.0069	504.0000	97,126,214.50 Dr	88,200,000.00 Cr	8,926,214.50	DKK
				Totals:		1,466,662,686.28 Dr	1,447,262,750.00 Cr	19,399,936.28	Dr

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L	Cur
AGS BB	AGEAS	0	1,200,000.00 Lg	30.9904	30.5500	37,188,480.00 Dr	36,660,000.00 Cr	528,480.00 Dr	EUR
UCB BB	UCB SA	C	1,870,000.00 Lg	59.4800	58.8500	111,227,600.00 Dr	110,049,500.00 Cr	1,178,100.00 Dr	EUR
Totals:						148,416,080.00 Dr	146,709,500.00 Cr	1,706,580.00 Dr	

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
UN US	UNILEVER N V -NY SHARES	0	3,644,600.00 Lg	42.2374	42.6700	153,938,309.64 Dr	155,515,082.00 Cr	1,576,772.36 Cr USD
					Totals:	153,938,309.64 Dr	155,515,082.00 Cr	1,576,772.36 Cr

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L	Cur
SIE GY	SIEMENS AG- REG	C	774.00 Sh	95.8038		74,152.14 Cr	0.00 Cr	0.00 Cr	EUR
					Totals:	74,152.14 Cr	0.00 Cr	0.00 Cr	



## Account Equity

ED&F Man Capital Markets Ltd  
3 London Bridge Street  
London SE1 9SG  
United Kingdom

Account Number: CC:RIVERS-ASSOC  
Account Name : RIVERSIDE ASSOCIATES DEFINED BE  
Date : 09-05-2014  
Currency : USD

## Pending Trades (DKK)

Trade Dt	Settl Dt	Type	Trn	Quantity Asset	Trd Price	Proceeds Cur
08-05-14	14-05-14	Normal	BUY	750,000.00 COLOB DC	474.1059	355,579,447.50 Dr DKK
08-05-14	14-05-14	Normal	BUY	100,000.00 COLOB DC	474.1059	47,410,593.00 Dr DKK

## Equity Swaps

Tick Seq	Trade Dt	Term Dt	Asset Description	Quantity D	Open Price	Notional Cur	Market Price	MTM Next Valuation	Reset	Rate Description	Rate	Accrued Financing
280118	09-05-14 14-05-14	25-07-14	COLOB DC COLOPLAST-B	850,000 S	472.4000	401,540,000 DKK	476.2000	-3,230,000	14-05-14	Fixed	0.0000	0.00
256432	24-04-14 29-04-14	24-07-14	DNORD DC D/S NORDEN	322,500 S	225.4248	73,699,498 DKK	208.9000	5,329,248		Fixed	0.0000	0.00
246968	14-04-14 16-04-14	23-12-14	TDC DC TDC A/S	2,150,000 S	49.4300	106,274,500 DKK	52.1500	-5,848,000		Fixed	0.0000	0.00
246841	14-04-14 16-04-14	23-12-14	NOVOB DC NOVO NORDISK A/S-B	2,000,000 S	227.8000	455,600,000 DKK	234.5000	-13,400,000		Fixed	0.0000	0.00
246927	14-04-14 16-04-14	23-12-14	DANSKE DC DANSKE BANK A/S	2,000,000 S	148.1000	296,200,000 DKK	152.9000	-9,600,000		Fixed	0.0000	0.00
246995	14-04-14 16-04-14	23-12-14	TRYG DC TRYG A/S	175,000 S	506.5000	88,637,500 DKK	504.0000	437,500		Fixed	0.0000	0.00
275626	05-05-14 08-05-14	25-07-14	AGS BB AGEAS	1,200,000 S	30.2000	36,240,000 EUR	30.5500	-420,000	26-05-14	Fixed	0.0000	0.00
270949	29-04-14 05-05-14	24-07-14	UCB BB UCB SA	1,870,000 S	59.3450	110,975,150 EUR	58.8500	925,650		Fixed	0.0000	0.00
247400	15-04-14 15-04-14	20-05-14	SIE GY SIEMENS AG- REG	774 L	95.8038	74,152 EUR	96.6700	670		Fixed	0.0000	0.00
203930	27-12-13 27-12-13	19-12-14	UN US UNILEVER N V -NY SHARES	1,444,600 S	39.4600	57,003,916 USD	42.6700	-4,637,166	19-05-14	Fixed	0.0000	0.00
203931	27-12-13 27-12-13	19-12-14	UN US UNILEVER N V -NY SHARES	2,200,000 S	39.4600	86,812,000 USD	42.6700	-7,062,000	19-05-14	Fixed	0.0000	0.00

**Account Equity**

ED&F Man Capital Markets Ltd  
 3 London Bridge Street  
 London SE1 9SG  
 United Kingdom

Account Number: CC:RIVERS-ASSOC  
 Account Name : RIVERSIDE ASSOCIATES DEFINED BE  
 Date : 09-05-2014  
 Currency : USD

**Account Summary**

Item	Amount (USD)	
Value of opening currency balance	534,504,495.09	Dr
Value of trades settling today	1,004.34	Dr
Value of Rec/Del today	0.00	Cr
Value of Deposits/Withdrawals today	1,312,995.42	Dr
<b>Value of closing currency balance(s)</b>	<b>535,818,494.84</b>	<b>Dr</b>
Cash Collateral Pending Settlement	0.00	Cr
Financed Cash Pending Settlement	0.00	Cr
NonFX Proceeds Pending Settlement	74,283,879.55	Dr
<b>Trade Date Cash Balance</b>	<b>610,102,374.39</b>	<b>Dr</b>
Market Value of Positions	624,134,559.89	Cr
Mkt Val of Unsettled Fin Positions	0.00	Cr
Mkt Val of Settled Fin Positions	0.00	Cr
Mkt Val of Unsettled Fin Collateral	0.00	Cr
Mkt Val of Settled Fin Collateral	0.00	Cr
Net Value of Financing Interest	0.00	Cr
Open Trade Equity on FX Deals	0.00	Cr
Accrued Interest on Fixed Income	0.00	Cr
Value of Open Swap Positions	15,852,570.76	Dr
<b>Preliminary Account Value</b>	<b>1,820,385.28</b>	<b>Dr</b>

**Margin Summary**

Margin Req (Reg-T: Initial)	0.00	Cr
Margin Req (Reg-T: Maintenance)	0.00	Cr
Margin Req (Future Initial)	0.00	Cr
Margin Req (FOREX)	0.00	Cr
Margin Req (Minimum Equity)	0.00	Cr
<b>Margin Req (Total)</b>	<b>0.00</b>	<b>Cr</b>

**Total Account Value** **1,820,385.28 Dr**

## Account Equity

ED&F Man Capital Markets Ltd  
 3 London Bridge Street  
 London SE1 9SG  
 United Kingdom

Account Number: CC:RIVERS-ASSOC  
 Account Name : RIVERSIDE ASSOCIATES DEFINED BE  
 Date : 09-05-2014  
 Currency : USD

## Financing Summary

Unsettled Value of collateral at close	0.00 Cr
Unsettled Financing Valuation	0.00 Cr
<hr/>	
Unsettled Financing Excess at close	0.00
<hr/>	
Settled Value of collateral at close	0.00 Cr
Settled Financing Valuation	0.00 Cr
<hr/>	
Settled Financing Excess at close	0.00
<hr/>	
Total Financing Excess at close	0.00

## Missing Market Prices

Asset	Cur Product	Description
SIE GY	EUR OTC-OP	SIEMENS AG- REG



Coloplast A/S

COLOB DC

ISIN: DK0060448595

SEDOL: B8FMRX8

COUNTRY: DK

EX DATE: 09/05/2014

REC DATE: 13/05/2014

PAY DATE: 14/05/2014

CCY: DKK

GROSS RATE: 4.00

FEE: 0.00

## AGENT POSITIONS

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEIVABLE	CLAIM?	NOTES
CC-CAMBRI-401KP	Cambridge Way LLC 401K Profit Sharing Plan	73%	2,850,000		8,322,000.00			
CC-DW0000-CONST	DW CONSTRUCTION INC RETIREMENT PLAN	73%	1,300,000		3,796,000.00			
CC-FEDLOG-FEDLO	FEDERATED LOGISTICS 401(K) PLAN	73%	1,300,000		3,796,000.00			
CC-HAMLYN-LLP00	HAMLYN LP	73%	3,000,000		8,760,000.00			
CC-KAMCDO-INVES	KAMCO INVESTMENTS INC PENSION PLAN	73%	1,300,000		3,796,000.00			
CC-LINDEN-ADBPL	Linden Associates Defined Benefit Plan	73%	850,000		2,482,000.00			
CC-RIVERS-ASSOC	RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN	73%	850,000		2,482,000.00			
CC-TVETER-TVETE	Tveter LLC Pension Plan	73%	115,000		335,800.00			
SB-SUNRIS-BRKRS	Sunrise Brokers LLP	73%		-11,565,000		-33,769,800.00		
CC-EDF0UB-EDFDU	ED&F Man Professional Trading (Dubai) Limited	73%		-11,565,000		-33,769,800.00		
SB-SUNRIS-BRKRS	Sunrise Brokers LLP	73%	11,565,000		33,769,800.00			
TOTALS			23,130,000	-23,130,000	TOTALS 67,539,600.00	-67,539,600.00		

01-290273

## SECURITIES LENDING POSITIONS

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEIVABLE	CLAIM?	NET STK LOAN AMNT	PAYMT Y/D	PAYMT IRN/ NO
CC-BLUEGR-BLUEG	Bluegrass Retirement Group Trust	100%	55,100		220,400.00					
CC-CUBXBE-00000	Cubix Managers Limited Partnerships	100%	500,000		2,600,000.00					
CC-POLLEN-FUNDO	POLLEN FUND LIMITED	100%	15,216,000		60,864,000.00					
CC-TEWLLC-TEW00	Tew Enterprises LLC Retirement Plan	100%	96,500		386,000.00					
CC-AUTOPA-AUTOP	Autoparts Pensions Group Trust	100%		-3,622,900		-14,491,600.00				
CC-BLUELL-BLUEL	BlueGrass Investment Management LLC Retirement Plan	100%		-3,640,200		-14,560,800.00				
CC-CASTPE-GRPTR	Casting Pensions Group Trust	100%		-254,000		-1,016,000.00				
CC-CTECH0-00000	Central Technologies Pensions Group Trust	100%		-342,800		-1,371,200.00				
CC-CUBXBE-BLOAN	Cubix Managers Limited Partnerships - BLOAN	100%		-500,000		-2,000,000.00				
CC-INDUST-PENGP	Industrial Pension Group Trust	100%		-3,682,800		-14,731,200.00				
CC-SVHOLD-SVHOL	SV Holdings LLC Retirement Plan	100%		-332,700		-1,330,800.00				
CC-TEWLPO-TEWLP	Tew LP Retirement Plan	100%		-3,492,200		-13,968,800.00				
SL TOTALS			15,867,600	-15,867,600	TOTALS 63,470,400.00	-63,470,400.00				

## SWAP POSITIONS

SWP CLIENT ACCOUNT	SWP CLIENT NAME	DIV %	SWAP LONG	SWAP SHORT	PAYABLE	RECEIVABLE
CC-APEXCP-BLOAN	Apex Capital Limited - BLOAN	73%	500,000		1,460,000.00	

CONFIDENTIAL

ED&amp;F-00045506

CC-APEX00-CPLTD		Apex Capital Limited		73%	-500,000	-1,460,000.00				
SWP TOTALS		500,000	-500,000	TOTALS	1,460,000.00	-1,460,000.00				
PREPARED: <i>[Signature]</i>		DESK SIGN OFF: <i>[Signature]</i>			OPS MANAGER SIGN OFF: <i>[Signature]</i>					
AGENT CASH RECEIVED	N/A	CLAIMS PAID	N/A	D1 JOURNAL POSTED	<i>[Signature]</i>	JOURNAL NUMBERS UPDATED	<i>[Signature]</i>	DATE: 15/05/14	FILE CLOSED	CLOSER: <i>[Signature]</i>



ShadowSuite 9.8.1 - User[MMEADE] Company [E1] Trade Date[15-10-2018] Server[tcp://172.20.20.38:5050] Port[VMSREDTFRDMT1] Zone[GREEN] - [General Journal Detail]



File Configuration Account Master Treasury Settlement Trading General Ledger Positions Price Operations Report Window Help

Journal ID 290253 Retrieve By: Journal # Origin System

## Journal Header

Journal ID	As Of Date	Entry Date	As of Post Date	Post Date	Type	Source	Origin
290253	15 05 2014	15 05 2014	15 05 2014	15 05 2014	Year Adjustment	ONG	Import
Description					Reverse ID	Status	
CASH DIV - CLOB C - P 14/5/14					(None)	Posted	
External ID					Reversal Type	Reverse Status	
					Never	Not Applicable	
Ancestor External ID					Ancestor ID	Reverse Date	
						EDT	

## Journal Detail Lines | Audit Trail Information | Authorization

## Detail Lines (2)

Show Groups

Seq	Asset	Country	Cur	Amount	Account ID	Account Name	Layer	Invt Type	Cost
1	1 DKK	(None)	DKK	2,482,000.0000 Dr	CO:CORPAC-CORPA	CORPORATE ACTIONS CONTROL AC	Cash	Unclassified Inventory Type	
2	2 DKK	(None)	DKK	2,482,000.0000 Cr	CC:RIVERS-ASS	RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN	Cash	Unclassified Inventory Type	



E D &amp; F MAN CAPITAL MARKETS LIMITED

## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Tveter LLC Pension Plan - 123 Colonial Road, New Canaan, Connecticut, 06840, USA, was holding the below security over the dividend date.

Security Description:	Coloplast A/S
ISIN:	DK0060448595
SEDOL:	B8FMRX8
Ex Date:	09/05/2014
Record Date:	13/05/2014
Pay Date:	14/05/2014
Quantity:	115,000.00
Gross Div Rate:	4
Amount Received:	335,800.00
WHT Suffered:	124,200.00
Currency:	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Tveter LLC Pension Plan. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations

Message

---

**From:** Bottomley, Oliver (LDN) [obottomley@edfmancapital.com]  
**Sent:** 12/05/2014 13:08:11  
**To:** Mina, Sara (LDN) [smina@edfmancapital.com]; LDN-EQUITYFINANCE-TRADS-DL [LDN-EQUITYFINANCE-TRADS-DL@edfmancapital.com]  
**BCC:** fireland@edfmancapital.com; mhoward@edfmancapital.com; vfoster@edfmancapital.com; obottomley@edfmancapital.com; cscanlan@edfmancapital.com  
**Subject:** RE: COLOB DC Rehyp  
**Attachments:** Oli To Confirm;; Fwd:BClear Coloplast A/S Trade, Ref: ME007201 Confirmation of trade with Link brokers; Confirm 3,300,000 COLOB DC T+3; 33,000 DFD Confirm - ED&F MCM; Hello Vic,; 09052014COLOBDC(MCM3).pdf; Fwd:Confirm

Hi Sara,

All re hypothecated as attached, futures rolled out for SWAPS.

Thanks,  
Oliver

---

**From:** Mina, Sara (LDN)  
**Sent:** 09 May 2014 09:57  
**To:** LDN-EQUITYFINANCE-TRADS-DL  
**Subject:** COLOB DC Rehyp

Guys, funding is looking a bit tight so could you please try to rehyp colob dc for me today?

Thanks

Sara Mina  
Equity Finance Trader

ED&F Man Capital Markets Limited  
Cottons Centre, Hay's Lane, London, SE1 2QE  
Direct: +44 (0)203 580 7629 | Desk: +44 (0)203 580 7625 | Mobile: +44 (0)7810867085  
[smina@edfmancapital.com](mailto:smina@edfmancapital.com) | [www.edfman.com](http://www.edfman.com)



**Volcafe Cash Equity Confirmation**

<b>Trade Date</b>	09-May	<b>Trade Time</b>
<b>Value Date</b>	14-May	16:38:01
		C.E.T
<b>ED&amp;F Man Capital Markets Trader</b>	<b>SELLS</b> VICTORIA FOSTER	
<b>Stock</b>	<b>COLOPLAST-B</b> COLOB DC Equity	
<b>ISIN</b>	DK0060448595	
<b>Number Shares</b>	2,500,000	
<b>Splits</b>	5 x 500,000	
<b>CCY</b>	DKK	
<b>Gross Price</b>	472.40000	
<b>Notional</b>		1,181,000,000.00
<b>Net Price</b>	472.40000	
<b>Net Notional</b>		1,181,000,000.00
<b>Invoice at month End</b>	NA	

**Additional information:** **Clearing BNP #1**

**Volcafe Clearing** ICBC

Best regards,

Richard Goodwin.

Volcafe Ltd: Technoparkstrasse 7, CH-8406 Winterthur, Switzerland  
rgoodwin@volcafe.ch +41 52 264 94 94

Message

**From:** Oliver Bottomley (E D & F MAN CAPITAL) [obottomley2@bloomberg.net]  
**Sent:** 12/05/2014 13:07:17  
**Subject:** Fwd:Confirm

--- Original Sender: VICTORIA FOSTER, E D & F MAN CAPITAL ---

----- Original Message -----

**From:** VICTORIA FOSTER (E D & F MAN CAPITAL)  
**To:** OLIVER BOTTOMLEY (E D & F MAN CAPITAL)  
**At:** May 12 2014 14:04:28

----- Original Message -----

**From:** ISABEL HAGAN (LINK ASSET & SECURIT)  
**At:** May 9 2014 16:29:02

Hi Vicky

To confirm ED&F MAN (BNP Acct 1) SELLS the following today T+3:

1,800,000 COLOB DC (DK0060448595) @ DKK 472.40

SHAPES: 4 x 450,000

Trade Date: 09-May  
Value Date: 14-May

Thanks, Isabel

-----  
This electronic mail message was sent by ED&F Man Capital Markets Limited ("MCM"). This information is intended solely for the personal and confidential use of the designated recipient named therein and therefore may not be redistributed, retransmitted or disclosed, in whole or in part, or in any form or manner, without the written consent of MCM and any unauthorized use or disclosure is prohibited. If you are not the designated recipient, you are requested to return this email to the sender immediately and to delete all copies. MCM does not represent or warrant the accuracy of and will not be responsible for the consequences of reliance upon any opinion or information contained herein.

## Message

**From:** GOODWIN, Richard (CH Volcafe) [RGOODWIN@volcafe.ch]  
**Sent:** 09/05/2014 14:47:32  
**To:** Chris Henstock (Capital Markets) (chenstock@edfmpt.ae) [chenstock@edfmpt.ae]  
**CC:** MINA, Sara (Capital Markets) [smina@edfmancapital.com]  
**Subject:** Confirm 2,500,000 COLOB DC T+3  
**Attachments:** 09052014COLOBDC(EDFDubai3).pdf

Chris:

### Volcafe Cash Equity Confirmation

<b>Trade Date</b>	09-May		
<b>Value Date</b>	14-May		
<b>ED&amp;F MCM obo ED&amp;F Man Professional Trading Dubai Trader</b>	<b>BUYS</b> CHRIS HENSTOCK		
<b>Stock</b>	<b>COLOPLAST-B</b> COLOB DC Equity		
<b>ISIN</b>	DK0060448595		
<b>Number Shares</b>	<b>2,500,000</b>		
<b>Splits</b>	5 x 500,000		
<b>CCY</b>	DKK		
<b>Gross Price</b>	472.40000		
<b>Total Notional</b>		1,181,000,000.00	
<b>Net Price</b>	<b>472.40591</b>		
<b>Total Net Notional</b>		1,181,014,775.00	
<b>Invoice at month End</b>	<b>NA</b>		
<b>Brokerage</b>		14,775.00	DKK
<b>Additional information:</b>	<b>Clearing JP Morgan</b>		
<b>Volcafe Clearing</b>	ICBC		
Best regards,			
Richard Goodwin.			





Volcafe Ltd.  
Technoparkstrasse 7, CH8406, Winterthur, Switzerland  
Office: +41 52 264 94 56, Mobile: +41 79 961 04 69  
**[rgoodwin@volcafe.ch](mailto:rgoodwin@volcafe.ch)** | **[www.volcafe.com](http://www.volcafe.com)**

Message

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**From:** Foster, Victoria (LDN) [vfoster@edfmancapital.com]  
**Sent:** 09/05/2014 14:53:48  
**To:** Henstock, Chris (DUB) [chenstock@edfmpt.ae]; Mina, Sara (LDN) [smina@edfmancapital.com]  
**Subject:** RE: Trade  
**Attachments:** Vic, To Confirm (2.86 KB).msg

Confirm attached Chris

thanks

---

**From:** Henstock, Chris (DUB)  
**Sent:** 09 May 2014 15:20  
**To:** Foster, Victoria (LDN); Mina, Sara (LDN)  
**Subject:** Trade

Hi Vic,

I am looking to BUY up to 1,800,000shs COLOB DC today, T+3, can you help? Ideally looking to trade at Dkk 472.52 if possible?

Regards,

Chris.

Chris Henstock  
Equity Finance Trader

E D & F Man Professional Trading (Dubai) Limited

Dubai International Financial Centre  
Office 14, Level 15, The Gate, P.O. Box 121208, Dubai, UAE  
Direct: +971 4 401 9676 | Mobile: +971 (0) 527 275463

[chenstock@edfmpt.ae](mailto:chenstock@edfmpt.ae) | [www.edfman.com](http://www.edfman.com)

Message

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**From:** Victoria Foster (E D & F MAN CAPITAL) [vfoster4@bloomberg.net]  
**Sent:** 09/05/2014 14:52:30  
**Subject:** Vic, To Confirm

--- Original Sender: DARYL COLLYER, CANTOR FITZGERALD EU ---

Vic, To Confirm

ED&F Man BUYS the following T+3 Vd 14th

COLOB DC 900,000shs @ DKK 472.52

COLOB DC 900,000shs @ DKK 472.52 \*\* Net Px 472.53181

CFE will MiFID report using otc rules

Many thanks for the trade.

Daryl

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Coloplast A/S

COLOB DC

ISIN: DK0060448595

SEDOL: B8FMRX8

COUNTRY: DK

EX DATE: 09/05/2014

REC DATE: 13/05/2014

PAY DATE: 14/05/2014

CCY: DKK

GROSS RATE: 4.00

FEE: 0.00

## GENT POSITIONS

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEIVABLE	CLAIM7	NOTES
AMBR1-401K	Cambridge Way LLC 401K Profit Sharing Plan	73%	2,850,000		8,322,000.00			
W0000-CONST	DW CONSTRUCTION INC RETIREMENT PLAN	73%	1,300,000		3,796,000.00			
EDLOG-FEDLO	FEDERATED LOGISTICS 401(K) PLAN	73%	1,300,000		3,796,000.00			
HAMLN-LLP00	HAMLN LP	73%	3,000,000		8,760,000.00			
KAMCO-INVES	KAMCO INVESTMENTS INC PENSION PLAN	73%	1,300,000		3,796,000.00			
INDEN-ADBPL	Inden Associates Defined Benefit Plan	73%	850,000		2,482,000.00			
IVERS-ASSOC	RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN	73%	850,000		2,482,000.00			
PETER-TVETE	Tveter LLC Pension Plan	73%	115,000		335,800.00			
SUNRI5-BRKRS	Sunrise Brokers LLP	73%		-11,565,000		-33,769,800.00		
EDFUB-EDFDU	ED&F Man Professional Trading (Dubai) Limited	73%		-11,565,000		-33,769,800.00		
SUNRI5-BRKRS	Sunrise Brokers LLP	73%	11,565,000		33,769,800.00			
TOTALS			23,130,000	-22,130,000	TOTALS 67,539,600.00	-67,539,600.00		

01-290273



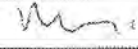

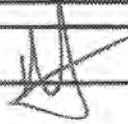
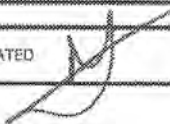
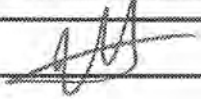
## SECURITIES LENDING POSITIONS

SL CLIENT ACCOUNT	SL CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEIVABLE	CLAIM7	NET 5TA LOAN AMNT	PYMNT V/D	PYMNT JRNL NO
BLUEGR-BLUEG	Bluegrass Retirement Group Trust	100%	55,100		220,400.00					
UBXBE-00000	Cubix Managers Limited Partnerships	100%	500,000		2,000,000.00					
POLLEN-FUNDO	POLLEN FUND LIMITED	100%	15,716,000		60,864,000.00					
TEWLLC-TEW00	Tew Enterprises LLC Retirement Plan	100%	96,500		386,000.00					
UTDPA-AUTDP	Autoparts Pensions Group Trust	100%		-3,622,900		-14,491,600.00				
BLUEL-BLUEL	BlueGrass Investment Management LLC Retirement Plan	100%		-3,640,200		-14,560,800.00				
ASTPE-GRPTR	Casting Pensions Group Trust	100%		-254,000		-1,016,000.00				
TECHD-00000	Central Technologies Pensions Group Trust	100%		-342,800		-1,371,200.00				
UBXBE-BLOAN	Cubix Managers Limited Partnerships - BLOAN	100%		-500,000		-2,000,000.00				
INDUST-PENGP	Industrial Pension Group Trust	100%		-3,682,800		-14,731,200.00				
SVHOLD-SVHOL	SV Holdings LLC Retirement Plan	100%		-332,700		-1,330,800.00				
TEWLPD-TEWLP	Tew LP Retirement Plan	100%		-3,492,200		-13,968,800.00				
SL TOTALS			15,867,600	-15,867,600	TOTALS 63,470,400.00	-63,470,400.00				

## SWAP POSITIONS

SWP CLIENT ACCOUNT	SWP CLIENT NAME	DIV %	SWAP LONG	SWAP SHORT	PAYABLE	RECEIVABLE
PEXCP-BLOAN	Apex Capital Limited - BLOAN	73%	500,000		1,460,000.00	

PEX00-CPLTD	Apex Capital Limited	73%	-500,000	-1,460,000.00
		SWP TOTALS	500,000	-500,000
		TOTALS	1,460,000.00	-1,460,000.00

PREPARED: 	DESK SIGN OFF:  	OPS MANAGED SIGN OFF: 
NET CASH RECEIVED: N/A	CLAIMS PAID: N/A	D1 JOURNAL POSTED: 
		JOURNAL NUMBERS UPDATED: 
		DATE: 15/05/14
		FILE CLOSED: 
		CLOSER: 